# Regularity of solutions to a model for solid-solid phase transitions driven by configurational forces

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#### Abstract

In a previous work, we prove the existence of weak solutions to an initial-boundary value problem, with  $H^1(\Omega)$  initial data, for a system of partial differential equations, which consists of the equations of linear elasticity and a nonlinear, degenerate parabolic equation of second order. Assuming in this article the initial data is in  $H^2(\Omega)$ , we investigate the regularity of weak solutions that is difficult due to the gradient term which plays a role of a weight. The problem models the behavior in time of materials with martensitic phase transitions. This model with diffusive phase interfaces was derived from a model with sharp interfaces, whose evolution is driven by configurational forces, and can be thought to be a regularization of that model. Our proof, in which the difficulties are caused by the weight in the principle term, is only valid in one space dimension.

**Keywords.** Regularity, Weak solutions, Elliptic-parabolic system, Phase transition model

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#### 1 Introduction

Many inhomogeneous systems can be characterized by domains of different phases separated by a distinct interface [16]. Driven out of equilibrium, their dynamics result in the evolution of those interfaces which might develop into structures (compositional and structural inhomogeneities) with characteristic length scales at the nano-, micro- or mesoscale. To a large extent, the material properties of such systems are determined by those structures of small-scale. It is thus important to understand precisely the mechanisms that drive the evolution of those structures. In this article we are interested in a model for the evolution, driven by configurational forces, of microstructures in elastically deformable solids. Materials microstructures may consist of spatially distributed phases of different compositions and/or crystal structures, grains of different orientations, domains of different structural variants, domains of different electrical or magnetic polarizations, and structural defects, see e.g. [15]. These structural features usually have an intermediate mesoscopic length scale in the range of nanometers to microns. The size, shape, and

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spatial arrangement of the local structural features in a microstructure play a critical role in determining the physical properties of a material. Because of the complex and nonlinear nature of microstructure evolution, numerical approaches are often employed.

There are two main types of modeling for the evolution of microstructures. In the conventional approach, the regions separating the domains are treated as mathematically sharp interfaces. The local interfacial velocity is then determined as part of the boundary conditions, or is calculated from the driving force for interface motion and the interfacial mobility. This approach requires the explicit tracking of the interface positions. Such an interface-tracking approach can be successful in one-dimensional systems, however it will be impractical for complicated three-dimensional microstructures. Therefore, during the past decades, another approach has been invented, namely, the phase-field approach in which the interface is not of zero thickness, instead an interfacial region with thickness of certain order of a small regularization parameter. Though it is still a young discipline in condensed matter physics, this approach has emerged to be one of the most powerful methods for modeling the evolution of microstructures. It can be traced back the theory of diffuse-interface description, which is developed, independently, more than a century ago by van der Waals [27] and some half century ago by Cahn and Hilliard [13].

The two well-known models for temporal evolution of microstructures are the Cahn-Hilliard/Allen-Cahn equations corresponding, respectively, to the case that the order parameter is conserved and not conserved. These phase field models describe microstructure phenomena at the mesoscale, and one suitable limit of it may be the corresponding sharp- or thin-interface descriptions. In this article we study a model for the behavior in time of materials with diffusionless phase transitions. The model has diffusive interfaces and consists of the partial differential equations of linear elasticity coupled to a quasilinear, non-uniformly parabolic equation of second order that differs from the Allen-Cahn equation (the Cahn-Hilliard equation in the case that the order parameter is conserved) by a gradient term. It is derived in [2, 4] from a sharp interface model for diffusionless phase transitions and can be considered to be a regularization of that model. To verify the validity of the new model, mathematical analysis has been carried out for the existence of weak solutions to initial boundary value problems in one space dimension, [3, 5, 9, 28], the existence of spherically symmetric solutions [8], the motion of interfaces [6], and the existence of traveling waves [21]. In the present article, the existence and regularity of weak solutions to an initial-boundary value problem will be studied. We first formulate this initial-boundary value problem in the three-dimensional case, reduce it to the one-dimensional case and prove the existence of weak solutions to this one dimensional problem, then study the regularity of weak solutions by assuming that the initial data is in  $H^2(\Omega)$ .

Let  $\Omega \subset \mathbb{R}^3$  be an open set. It represents the material points of a solid body. The different phases are characterized by the order parameter  $S(t,x) \in \mathbb{R}$ . A value of S(t,x) near to  $S_-$  (which is a real number) indicates that the material is in the matrix phase at the point  $x \in \Omega$  at time t, a value near to  $S_+$  indicates that the material is in the second phase. The other unknowns are the displacement  $u(t,x) \in \mathbb{R}^3$  of the material point x at time t and the Cauchy stress tensor  $T(t,x) \in \mathcal{S}^3$ , where  $\mathcal{S}^3$  denotes the set of symmetric

 $3 \times 3$ -matrices. The unknowns must satisfy the quasi-static equations

$$-\operatorname{div}_x T(t,x) = b(t,x), \tag{1.1}$$

$$T(t,x) = D(\varepsilon(\nabla_x u(t,x)) - \bar{\varepsilon}S(t,x)), \qquad (1.2)$$

$$S_t(t,x) = -c\Big(\psi_S(\varepsilon(\nabla_x u(t,x)), S(t,x)) - \nu \Delta_x S(t,x)\Big) |\nabla_x S(t,x)| \quad (1.3)$$

for  $(t,x) \in (0,\infty) \times \Omega$ . The boundary and initial conditions are

$$u(t,x) = \gamma(t,x), \ S(t,x) = 0, \ (t,x) \in [0,\infty) \times \partial\Omega, \tag{1.4}$$

$$S(0,x) = S_0(x), x \in \Omega. \tag{1.5}$$

Here  $\nabla_x u$  denotes the 3×3-matrix of first order derivatives of u, the deformation gradient,  $(\nabla_x u)^T$  denotes the transposed matrix and

$$\varepsilon(\nabla_x u) = \frac{1}{2} \left( \nabla_x u + (\nabla_x u)^T \right)$$

is the strain tensor.  $\bar{\varepsilon} \in \mathcal{S}^3$  is a given matrix, the misfit strain, and  $D: \mathcal{S}^3 \to \mathcal{S}^3$  is a linear, symmetric, positive definite mapping, the elasticity tensor. In the free energy

$$\psi(\varepsilon, S) = \frac{1}{2} (D(\varepsilon - \bar{\varepsilon}S)) \cdot (\varepsilon - \bar{\varepsilon}S) + \hat{\psi}(S)$$
(1.6)

we choose for  $\hat{\psi} \in C^2(\mathbb{R}, [0, \infty))$  a double well potential with minima at  $S = S_-$  and  $S = S_+$ . The scalar product of two matrices is  $A \cdot B = \sum a_{ij}b_{ij}$ . Also,  $\psi_S$  is the partial derivative, c > 0 is a constant and  $\nu$  is a small positive constant. Given are the volume force  $b: [0, \infty) \times \Omega \to \mathbb{R}^3$  and the data  $\gamma: [0, \infty) \times \partial\Omega \to \mathbb{R}^3$ ,  $S_0: \Omega \to \mathbb{R}$ .

This completes the formulation of the initial-boundary value problem. The equations (1.1) and (1.2) differ from the system of linear elasticity only by the term  $\bar{\varepsilon}S$ . The evolution equation (1.3) for the order parameter S is non-uniformly parabolic because of the term  $\nu\Delta S|\nabla_x S|$ . Since this initial-boundary value problem is derived from a sharp interface model, to verify that it is indeed a diffusive interface model regularizing the sharp interface model, it must be shown that equations (1.1) – (1.5) with positive  $\nu$  have solutions which exist global in time and is more regular if the initial data is more regular, and that these solutions tend to solutions of the sharp interface model for  $\nu \to 0$ . This would also be a method to prove existence of solutions to the original sharp interface model

In this article we show that in one space dimension the initial-boundary value problem has solutions, and study the regularity of these weak solutions with  $H^2(\Omega)$  initial data. Whether solutions in three space dimensions exist and whether these solutions converge to a solution of the sharp interface model for  $\nu \to 0$  is still an open problem to be investigated later. The model and therefore the existence result is of interest not only in three dimensions but also in one space dimension. Moreover we believe that this one-dimensional existence result can also be helpful for an existence proof for higher space dimensions.

Related to our investigations is the model for diffusion dominated phase transformations obtained by coupling the elasticity equations (1.1), (1.2) with the Cahn-Hilliard equation. This model has recently been studied in [11, 14, 18].

**Statement of the main result.** We now assume that all functions only depend on the variables  $x_1$  and t, and, to simplify the notation, denote  $x_1$  by x. The set  $\Omega = (a, d)$  is a bounded open interval with constants a < d. We write  $Q_{T_e} := (0, T_e) \times \Omega$ , where  $T_e$  is a positive constant, and define

$$(v,\varphi)_Z = \int_Z v(y)\varphi(y) dy$$
,

for  $Z = \Omega$  or  $Z = Q_{T_e}$ . If v is a function defined on  $Q_{T_e}$  we denote the mapping  $x \mapsto v(t,x)$  by v(t). If no confusion is possible we sometimes drop the argument t and write v = v(t). We still allow that the material points can be displaced in three directions, hence  $u(t,x) \in \mathbb{R}^3$ ,  $T(t,x) \in \mathcal{S}^3$  and  $S \in \mathbb{R}$ . If we denote the first column of the matrix T(t,x) by  $T_1(t,x)$  and set

$$\varepsilon(u_x) = \frac{1}{2} ((u_x, 0, 0) + (u_x, 0, 0)^T) \in \mathcal{S}^3,$$

then with these definitions the equations (1.1) - (1.3) in the case of one space dimension can be written in the form

$$-T_{1x} = b, (1.7)$$

$$T = D(\varepsilon(u_x) - \bar{\varepsilon}S), \tag{1.8}$$

$$S_t = c \left( T \cdot \bar{\varepsilon} - \hat{\psi}'(S) + \nu S_{xx} \right) |S_x|, \tag{1.9}$$

which must be satisfied in  $Q_{T_e}$ . Here we have inserted  $\psi_S(\varepsilon, S) = -T \cdot \bar{\varepsilon} + \hat{\psi}'(S)$ . Since the equations (1.7), (1.8) are linear, the inhomogeneous Dirichlet boundary condition for u can be reduced in the standard way to the homogeneous condition. For simplicity we thus assume that  $\gamma = 0$ . The initial and boundary conditions therefore are

$$u(t,x) = 0, \quad (t,x) \in (0,T_e) \times \partial\Omega,$$
 (1.10)

$$S(t,x) = 0, (t,x) \in (0,T_e) \times \partial\Omega, (1.11)$$

$$S(0,x) = S_0(x), \qquad x \in \Omega. \tag{1.12}$$

To define weak solutions of this initial-boundary value problem we note that because of  $\frac{1}{2}(|y|y)' = |y|$  equation (1.9) is equivalent to

$$S_t - c\nu \frac{1}{2} (|S_x|S_x)_x - c \left( T \cdot \bar{\varepsilon} - \hat{\psi}'(S) \right) |S_x| = 0.$$

$$(1.13)$$

**Definition 1.1** Let  $b \in L^{\infty}(0, T_e, L^2(\Omega)), S_0 \in L^{\infty}(\Omega)$ . A function (u, T, S) with

$$u \in L^{\infty}(0, T_e; W_0^{1,\infty}(\Omega)),$$
 (1.14)

$$T \in L^{\infty}(Q_{T_e}), \tag{1.15}$$

$$S \in L^{\infty}(Q_{T_0}) \cap L^{\infty}(0, T_e; H_0^1(\Omega)),$$
 (1.16)

is a weak solution to problem (1.7) – (1.12), if equations (1.7) – (1.8) with (1.10) are satisfied in the weak sense, and if for all  $\varphi \in C_0^{\infty}((-\infty, T_e) \times \Omega)$ 

$$(S, \varphi_t)_{Q_{T_e}} - c\nu \frac{1}{2} (|S_x|S_x, \varphi_x)_{Q_{T_e}} + c \left( \left( T \cdot \overline{\varepsilon} - \hat{\psi}'(S) \right) |S_x|, \varphi \right)_{Q_{T_e}} + (S_0, \varphi(0))_{\Omega} = 0.$$
 (1.17)

We make the following assumption on the nonlinearity  $\hat{\psi}(S)$ . Assumption for  $\hat{\psi}(S)$ :

 $\hat{\psi}(S) \in C^{\infty}(\mathbb{R})$  is a double-well potential which has two local minima at  $S_{-}$  and  $S_{+}$  with  $S_{-} < S_{+}$  and one local maximum at  $S_{*}$  with  $S_{-} < S_{*} < S_{+}$ , (1.18) and satisfies  $\hat{\psi}'(S) > 0$  for  $S_{-} < S < S_{*}$  and  $\hat{\psi}'(S) > 0$  for  $S_{+} < S < S_{+}$ .

One typical example is:  $\hat{\psi}(S) = (S(1-S))^2$  with  $S_- = 0$ ,  $S_+ = 1$ .

Now we are in a position to state the main result of this article.

**Theorem 1.2** Assume that  $\hat{\psi}(S)$  satisfies (1.18). Then for all  $S_0 \in H_0^1(\Omega) \cap H^2(\Omega)$  and  $b \in C(\overline{Q}_{T_e})$  with  $b_t \in C(\overline{Q}_{T_e})$ , there exists a weak solution (u, T, S) to problem (1.7) – (1.12), which in addition to (1.14) – (1.17) satisfies

$$u_t \in C([0, T_e]; H^1(\Omega)), \quad T_t \in C([0, T_e]; L^2(\Omega))$$
 (1.19)

and

$$S_t \in L^{\infty}(0, T_e; L^2(\Omega)), \quad |S_x|S_x \in L^{\infty}(0, T_e; H^1(\Omega)), \quad S_x \in L^{\infty}(Q_{T_e}).$$
 (1.20)

The remaining sections are devoted to the proof of this theorem. The main difficulty in the proof stems from the fact that the coefficient  $\nu|S_x|$  of the highest order derivative  $S_{xx}$  in equation (1.9) is not bounded away from zero and that it is not differentiable with respect to  $S_x$ .

To prove Theorem 1.2 we therefore consider in Section 2 a modified initial-boundary value problem which consists of (1.7), (1.8), (1.10) - (1.12) and the equation

$$S_t - c\nu |S_x|_{\kappa} S_{xx} - c \left( T \cdot \bar{\varepsilon} - \hat{\psi}'(S) \right) (|S_x|_{\kappa} - \kappa) = 0, \ x \in \Omega, \ t > 0$$
 (1.21)

with a constant  $\kappa > 0$ . Here we use the notation

$$|p|_{\kappa} := \sqrt{\kappa^2 + |p|^2}.\tag{1.22}$$

Since (1.21) is a uniformly (for  $|S_x| \leq M$ , M is a positive constant) parabolic equation we can use a standard theorem to conclude that the modified initial-boundary value problem has a sufficiently smooth solution  $(u^{\kappa}, T^{\kappa}, S^{\kappa})$ . For this solution we derive in Section 3 a priori estimates independent of  $\kappa \in (0, 1]$ .

The function |p| is smoothed by  $|p|_{\kappa}$  in (1.22) which is different from that in [3], thus we also prove the existence of weak solutions, though our main concern of this article is the regularity of solutions. To select a subsequence converging to a solution for  $\kappa \to 0$  we need a compactness result. However, our a priori estimates of  $S_{xx}$  depend on a weight  $|S_x|_{\kappa}$ , and are not strong enough to show that the sequence  $S_x^{\kappa}$  is compact; instead, we can only show that the sequence  $\int_0^{S_x^{\kappa}} |y|^{\frac{1}{2}} dy$  is compact, from which we conclude a subsequence of  $S_x^{\kappa}$  that converges almost everywhere, thereby prove the existence. For the compactness proof in Section 4 we apply the compact Sobolev imbedding theorem, and don't need the Aubin-Lions Lemma or its generalized form of this lemma given by Roubícěk [25], Simon [26], which plays a crucial role in the article [3].

In the proof of regularity estimates, we differentiate equation (1.21) with respect to t. Thus a term like  $(|S_x|_{\kappa})_t \psi_S$  appears, which cannot be absorbed by the a priori estimates with a weight  $|S_x|_{\kappa}$ . To overcome this difficulty, we derive a type of estimate (see (3.6)) with a reciprocal weight  $|S_x|_{\kappa}^{-1}$ . This is possible due to the special structure of the model studied here. However the Allen-Cahn model does not possesses such a structure, and our technique does not work for that model.

The method of proof is limited to one space dimension, since for the a priori estimates it is crucial that the term  $|S_x|S_{xx}$  in (1.9) can be written in the divergence form  $\frac{1}{2}(|S_x|S_x)_x$ . In the higher dimensional case the corresponding term  $|\nabla_x S|\Delta_x S$  cannot be rewritten in this way, whence the multi-dimensional problem is still open.

### 2 Existence of solutions to the modified problem

In this section, we study the modified initial-boundary value problem and show that it has a Hölder continuous classical solution. To formulate this problem, let  $\chi \in C_0^{\infty}(\mathbb{R}, [0, \infty))$  satisfy  $\int_{-\infty}^{\infty} \chi(t)dt = 1$ . For  $\kappa > 0$ , we set

$$\chi_{\kappa}(t) := \frac{1}{\kappa} \chi\left(\frac{t}{\kappa}\right),$$

and for  $S \in L^{\infty}(Q_{T_e}, \mathbb{R})$  we define

$$(\chi_{\kappa} * S)(t, x) = \int_0^{T_e} \chi_{\kappa}(t - s)S(s, x)ds. \tag{2.1}$$

The modified initial-boundary value problem consists of the equations

$$-T_{1x} = b, (2.2)$$

$$T = D(\varepsilon(u_x) - \bar{\varepsilon}\chi_{\kappa} * S), \tag{2.3}$$

$$S_t = c\nu |S_x|_{\kappa} S_{xx} + c(T \cdot \bar{\varepsilon} - \hat{\psi}'(S))(|S_x|_{\kappa} - \kappa), \tag{2.4}$$

which must hold in  $Q_{T_e}$ , and of the boundary and initial conditions

$$u(t,x) = 0, \quad (t,x) \in (0,T_e) \times \partial \Omega, \tag{2.5}$$

$$S(t,x) = 0, \quad (t,x) \in (0,T_e) \times \partial \Omega, \tag{2.6}$$

$$S(0,x) = S_0(x), \quad x \in \Omega. \tag{2.7}$$

To formulate an existence theorem for this problem we need some function spaces: For nonnegative integers m,n and a real number  $\alpha \in (0,1)$  we denote by  $C^{m+\alpha}(\overline{\Omega})$  the space of m-times differentiable functions on  $\overline{\Omega}$ , whose m-th derivative is Hölder continuous with exponent  $\alpha$ . The space  $C^{\alpha,\alpha/2}(\overline{Q}_{T_e})$  consists of all functions on  $\overline{Q}_{T_e}$ , which are Hölder continuous in the parabolic distance

$$d((t,x),(s,y)) := \sqrt{|t-s| + |x-y|^2}.$$

 $C^{m,n}(\overline{Q}_{T_e})$  and  $C^{m+\alpha,n+\alpha/2}(\overline{Q}_{T_e})$ , respectively, are the spaces of functions, whose x-derivatives up to order m and t-derivatives up to order n belong to  $C(\overline{Q}_{T_e})$  or to  $C^{\alpha,\alpha/2}(\overline{Q}_{T_e})$ , respectively.

**Theorem 2.1** Let  $\nu, \kappa > 0$ ,  $T_e > 0$ . Suppose that the function  $b \in C(\overline{Q}_{T_e})$  has the derivative  $b_t \in C(\overline{Q}_{T_e})$  and that the initial data  $S_0 \in C^{2+\alpha}(\overline{\Omega})$  satisfy  $S_0|_{\partial\Omega} = S_{0,x}|_{\partial\Omega} = S_{0,xx}|_{\partial\Omega} = 0$ . Then there is a solution

$$(u, T, S) \in C^{2,1}(\overline{Q}_{T_e}) \times C^{1,1}(\overline{Q}_{T_e}) \times C^{2+\alpha, 1+\alpha/2}(\overline{Q}_{T_e})$$

of the modified initial-boundary value problem (2.2) – (2.7). This solution satisfies  $S_{tx} \in L^2(Q_{T_e})$  and

$$\max_{\overline{Q}_{T_e}} |S| \le \max_{\overline{\Omega}} |S_0|. \tag{2.8}$$

*Proof.* In [4] it is shown that the unique solution to the linear elliptic problem (2.2) – (2.3), with (2.5) and given S, is given by

$$u(t,x) = u^* \left( \int_a^x (\chi_{\kappa} * S)(t,y) dy - \frac{x-a}{d-a} \int_a^d (\chi_{\kappa} * S)(t,y) dy \right) + w(t,x), \qquad (2.9)$$

$$T(t,x) = D(\varepsilon^* - \bar{\varepsilon})(\chi_{\kappa} * S)(t,x) - \frac{D\varepsilon^*}{d-a} \int_a^d (\chi_{\kappa} * S)(t,y)dy + \sigma(t,x), \qquad (2.10)$$

where  $u^* \in \mathbb{R}^3$ ,  $\varepsilon^* \in \mathcal{S}^3$  are suitable constants only depending on  $\bar{\varepsilon}$  and D, and where for every  $t \in [0, T_e]$  the function  $(w(t), \sigma(t)) : \Omega \to \mathbb{R}^3 \times \mathcal{S}^3$  is the solution to the boundary value problem

$$\begin{aligned}
-\sigma_{1x}(t) &= b(t), \\
\sigma(t) &= D\varepsilon(w_x(t)), \\
w(t)_{|\partial\Omega} &= 0.
\end{aligned}$$

Since by assumption b and  $b_t$  belong to  $C(\bar{Q}_{T_e})$ , it follows that  $(w, \sigma) \in C^{2,1}(\bar{Q}_{T_e}) \times C^{1,1}(\bar{Q}_{T_e})$ . We insert (2.10) into (2.4) and obtain the equation

$$S_{t} = a_{1}(S_{x})S_{xx} + a_{2}\left(t, x, S, S_{x}, \chi_{\kappa} * S, \frac{1}{d-a} \int_{a}^{d} (\chi_{\kappa} * S)(t, y)dy\right)$$
(2.11)

in  $Q_{T_e}$ , where

$$a_1(p) = c\nu |p|_{\kappa}$$

and

$$a_2(t, x, S, p, r, s) = c \left( \bar{\varepsilon} \cdot D(\varepsilon^* - \bar{\varepsilon})r - \bar{\varepsilon} \cdot D\varepsilon^*s + \bar{\varepsilon} \cdot \sigma(t, x) - \hat{\psi}'(S) \right) (|p|_{\kappa} - \kappa).$$

Then (2.11), (2.6) and (2.7) form an initial-boundary value problem with nonlocal terms, which is equivalent to the problem (2.2) - (2.7). We can apply [22, Theorem 2.9, p.23], with a slight modification, to (2.11) and conclude the existence of classical solution to the modified problem and estimate (2.8) holds. For the details, we refer to [3].

#### 3 A priori estimates

In this section we establish a-priori estimates for solutions of the modified problem, which are uniform with respect to  $\kappa \in (0,1]$ . We remark that the estimates in Lemma 3.1 and some in Corollary C3.2, though stated in the one-dimensional case, can be generalized to higher space dimensions.

In what follows we assume that

$$0 < \kappa \le 1,\tag{3.1}$$

since we consider the limit  $\kappa \to 0$ . The  $L^2(\Omega)$ -norm is denoted by  $\|\cdot\|$ , and the letter C stands for varies positive constants independent of  $\kappa$ , while may depend on  $\nu$ .

We start by constructing a family of approximate solutions to the modified problem. To this end let  $T_e$  be a fixed positive number and choose for every  $\kappa$  a function  $S_0^{\kappa} \in C_0^{\infty}(\Omega)$  such that

$$||S_0^{\kappa} - S_0||_{H_0^1(\Omega) \cap H^2(\Omega)} \to 0, \quad \kappa \to 0,$$
 (3.2)

where  $S_0 \in H_0^1(\Omega) \cap H^2(\Omega)$  are the initial data given in Theorem 1.2. We insert for  $S_0$  in (2.7) the function  $S_0^{\kappa}$  and choose for b in (2.2) the function given in Theorem 1.1. These functions satisfy the assumptions of Theorem 2.1, hence there is a solution  $(u^{\kappa}, T^{\kappa}, S^{\kappa})$  of the modified problem (2.2) – (2.7), which exists in  $Q_{T_e}$ . The inequality (2.8) and Sobolev's imbedding theorem yield for this solution

$$\sup_{0 < \kappa < 1} \|S^{\kappa}\|_{L^{\infty}(Q_{T_e})} \le \sup_{0 < \kappa < 1} \|S_0^{\kappa}\|_{L^{\infty}(\Omega)} \le C. \tag{3.3}$$

Remembering that  $\sigma$  in (2.10) belongs to  $C^{1,1}(\bar{Q}_{T_e})$ , we conclude from (3.3) that also

$$\max_{\overline{Q}_{T_e}} |c(T^{\kappa} \cdot \overline{\varepsilon} - \hat{\psi}'(S^{\kappa}))| \le C.$$
(3.4)

**Lemma 3.1** There hold for any  $t \in [0, T_e]$ 

$$||S_x^{\kappa}(t)||^2 + c\nu \int_0^t \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xx}^{\kappa}|^2 dx d\tau \le C, \tag{3.5}$$

$$\int_0^t \int_{\Omega} \frac{|S_t^{\kappa}|^2}{|S_x^{\kappa}|_{\kappa}} dx d\tau \le C. \tag{3.6}$$

It is worth a remark on the estimate (3.6).

Remark 1. The reciprocal weight estimate (3.6): It is obtained by multiplying equation (2.4) by the reciprocal of the weight function  $|S_x^{\kappa}|_{\kappa}$  in the leading term. This is due to the special structure of our model which makes it possible to get the regularity results in this article. In contract, the model of the Allen-Cahn type with the mobility depending on the gradient of unknown does not possesses this feature, for instance,  $S_t - |S_x|_{\kappa} S_{xx} + f(S) = 0$ . Suppose we obtain the  $L^{\infty}(Q_{T_e})$ -norm of S. If we multiply it by  $S_t |S_x|_{\kappa}^{-1}$  and integrate it with respect to x, we then see that the term  $\int_{\Omega} f(S) S_t |S_x|_{\kappa}^{-1} dx$  cannot be absorbed by the left hand side. Consequently, it is impossible to obtain an estimate of the form (3.6) for the Allen-Cahn type equation.

*Proof.* Invoking  $S_{tx}^{\kappa} \in L^2(Q_{T_e})$ , by Theorem 2.1, which yields that for almost all t

$$\frac{1}{2}\frac{d}{dt}\|S_x^{\kappa}(t)\|^2 = \int_{\Omega} S_x^{\kappa}(t)S_{xt}^{\kappa}(t)dx.$$

Making use of this relation and estimate (3.4), multiplying (2.4) by  $-S_{xx}^{\kappa}$  and integrating it with respect to x, and taking the boundary condition (2.6) into account, we obtain that for almost all t

$$\frac{1}{2} \frac{d}{dt} \|S_x^{\kappa}\|^2 + c\nu \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xx}^{\kappa}|^2 dx = \int_{\Omega} c(\hat{\psi}'(S^{\kappa}) - T^{\kappa} \cdot \overline{\varepsilon}) (|S_x^{\kappa}|_{\kappa} - \kappa) S_{xx}^{\kappa} dx$$

$$\leq C \int_{\Omega} (|S_x^{\kappa}|_{\kappa} + \kappa) |S_{xx}^{\kappa}| dx = C \int_{\Omega} |S_x^{\kappa}|_{\kappa}^{\frac{1}{2}} |S_x^{\kappa}|_{\kappa}^{\frac{1}{2}} |S_{xx}^{\kappa}| dx + C \int_{\Omega} \kappa |S_{xx}^{\kappa}| dx$$

$$\leq \frac{c\nu}{4} \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xx}^{\kappa}|^2 dx + \frac{c\nu}{4} \kappa \|S_{xx}^{\kappa}\|^2 + \frac{C}{\nu} \int_{\Omega} (|S_x^{\kappa}|_{\kappa})^2 dx + C_{\nu}. \tag{3.7}$$

Splitting the second term on the left hand side of (3.7) into two equal terms and subtracting the term  $\frac{c\nu}{4} \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xx}^{\kappa}|^2 dx$  and  $\frac{c\nu}{4} \kappa ||S_{xx}^{\kappa}||^2$  on both sides of this inequality, and using Gronwall's Lemma we derive (3.5) from the resulting estimate, noting also (3.2) and  $\kappa \leq |S_x^{\kappa}|_{\kappa}$ .

To derive (3.6), we multiply (2.4) by  $S_t^{\kappa}|S_x^{\kappa}|_{\kappa}^{-1}$  and integrate the resulting equation with respect to x to get

$$0 = \int_{\Omega} \frac{(S_t^{\kappa})^2}{|S_x^{\kappa}|_{\kappa}} dx - c \int_{\Omega} (\nu S_{xx}^{\kappa} - \psi_S) S_t^{\kappa} dx + c \int_{\Omega} \frac{\kappa \psi_S}{|S_x^{\kappa}|_{\kappa}} S_t^{\kappa} dx$$
$$= \int_{\Omega} \frac{(S_t^{\kappa})^2}{|S_x^{\kappa}|_{\kappa}} dx + I_1 + I_2. \tag{3.8}$$

Invoking the formula  $\psi_S = -T \cdot \bar{\varepsilon} + \hat{\psi}'(S)$  and the boundary conditions, and using integration by parts we have

$$I_{1} = c \frac{d}{dt} \int_{\Omega} \left( \frac{\nu}{2} |S_{x}^{\kappa}|^{2} + \hat{\psi}(S^{\kappa}) \right) dx - c \int_{\Omega} T^{\kappa} \cdot \bar{\varepsilon} S_{t}^{\kappa} dx$$
$$= c \frac{d}{dt} \int_{\Omega} \left( \frac{\nu}{2} |S_{x}^{\kappa}|^{2} + \hat{\psi}(S^{\kappa}) \right) dx + J. \tag{3.9}$$

To deal with the term J, we use (3.4) to get

$$|J| = c \left| \int_{\Omega} T^{\kappa} \cdot \bar{\varepsilon} |S_{x}^{\kappa}|_{\kappa}^{\frac{1}{2}} \frac{S_{t}^{\kappa}}{|S_{x}^{\kappa}|_{\kappa}^{\frac{1}{2}}} dx \right|$$

$$\leq C \left( \int_{\Omega} |S_{x}^{\kappa}|_{\kappa} dx \right)^{\frac{1}{2}} \left( \int_{\Omega} \frac{(S_{t}^{\kappa})^{2}}{|S_{x}^{\kappa}|_{\kappa}} dx \right)^{\frac{1}{2}}$$

$$\leq C (\|S_{x}^{\kappa}\| + 1)^{\frac{1}{2}} \left( \int_{\Omega} \frac{(S_{t}^{\kappa})^{2}}{|S_{x}^{\kappa}|_{\kappa}} dx \right)^{\frac{1}{2}}$$

$$\leq \frac{1}{2} \int_{\Omega} \frac{(S_{t}^{\kappa})^{2}}{|S_{x}^{\kappa}|_{\kappa}} dx + C. \tag{3.10}$$

Here we used the estimate (3.5) and the Cauchy-Schwarz and Young inequalities. For  $I_2$ , we make use of equation (2.4) and write

$$I_{2} = c \int_{\Omega} \frac{\kappa \psi_{S}}{|S_{x}^{\kappa}|_{\kappa}} \left( c\nu |S_{x}^{\kappa}|_{\kappa} S_{xx}^{\kappa} - c\psi_{S}(|S_{x}^{\kappa}|_{\kappa} - \kappa) \right) dx$$

$$= c^{2} \int_{\Omega} \left( \nu \kappa \psi_{S} S_{xx}^{\kappa} - \kappa (\psi_{S})^{2} \frac{|S_{x}^{\kappa}|_{\kappa} - \kappa}{|S_{x}^{\kappa}|_{\kappa}} \right) dx.$$
(3.11)

By definition, one has  $|S_x^{\kappa}|_{\kappa} \ge \kappa$  which implies  $\frac{\kappa}{|S_x^{\kappa}|_{\kappa}} \le 1$ . So

$$|I_{2}| \leq C \int_{\Omega} (\kappa |S_{xx}^{\kappa}| + |S_{x}^{\kappa}|_{\kappa} + \kappa) dx$$
  
$$\leq c\nu \kappa ||S_{xx}^{\kappa}||^{2} + C_{\nu} (||S_{x}^{\kappa}|| + 1).$$
 (3.12)

With the help of (3.5), (3.9) - (3.12), we integrate (3.8) with respect to t, then obtain

$$\frac{1}{2} \int_0^t \int_{\Omega} \frac{(S_t^{\kappa})^2}{|S_x^{\kappa}|_{\kappa}} dx + c \int_{\Omega} \left(\frac{\nu}{2} |S_x^{\kappa}|^2 + \hat{\psi}(S^{\kappa})\right) dx \le C, \tag{3.13}$$

which implies (3.6). Thus we complete the proof of this lemma.

Furthermore, we obtain

Corollary 3.2 There holds for any  $t \in [0, T_e]$ 

$$\int_0^t \int_{\Omega} (|S_x^{\kappa}|_{\kappa} |S_{xx}^{\kappa}|)^{\frac{4}{3}} dx d\tau \le C, \tag{3.14}$$

$$\int_0^t \int_{\Omega} (|S_x^{\kappa} S_{xx}^{\kappa}|)^{\frac{4}{3}} dx d\tau \leq C, \tag{3.15}$$

$$\int_{0}^{t} \left\| \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy \right\|_{W^{1,\frac{4}{3}}(\Omega)}^{\frac{4}{3}} d\tau \le C, \tag{3.16}$$

$$\int_0^t \left\| \int_0^{S_x^{\kappa}} |y|_{\kappa} dy \right\|_{L^{\infty}(\Omega)}^{\frac{4}{3}} d\tau \le C, \tag{3.17}$$

$$|||S_x^{\kappa}|S_x^{\kappa}||_{L^{\frac{4}{3}}(0,T_e;L^{\infty}(\Omega))} \le C,$$
 (3.18)

$$\int_0^t \|S_x^{\kappa}\|_{L^{\infty}(\Omega)}^{\frac{8}{3}} d\tau \le C. \tag{3.19}$$

*Proof.* For some  $2 > p \ge 1$  we choose q, q' such that

$$q = \frac{2}{p}, \quad \frac{1}{q} + \frac{1}{q'} = 1.$$

By Hölder's inequality, we have

$$\int_{0}^{t} \int_{\Omega} \left( |S_{x}^{\kappa}|_{\kappa} |S_{xx}^{\kappa}| \right)^{p} dx d\tau 
= \int_{0}^{t} \int_{\Omega} \left( |S_{x}^{\kappa}|_{\kappa} \right)^{\frac{p}{2}} \left( \left( |S_{x}^{\kappa}|_{\kappa} \right)^{\frac{p}{2}} |S_{xx}^{\kappa}|^{p} \right) dx d\tau 
\leq \left( \int_{0}^{t} \int_{\Omega} \left( |S_{x}^{\kappa}|_{\kappa} \right)^{\frac{pq'}{2}} dx d\tau \right)^{\frac{1}{q'}} \left( \int_{0}^{t} \int_{\Omega} \left( |S_{x}^{\kappa}|_{\kappa} \right)^{\frac{pq}{2}} |S_{xx}^{\kappa}|^{pq} dx d\tau \right)^{\frac{1}{q}} 
\leq \left( \int_{0}^{t} \int_{\Omega} \left( |S_{x}^{\kappa}|_{\kappa} \right)^{\frac{p}{2-p}} dx d\tau \right)^{\frac{2-p}{2}} \left( \int_{0}^{t} \int_{\Omega} |S_{x}^{\kappa}|_{\kappa} |S_{xx}^{\kappa}|^{2} dx d\tau \right)^{\frac{p}{2}}.$$
(3.20)

Estimate (3.5) implies that if p satisfies  $\frac{p}{2-p} \le 2$ , i.e.  $p \le \frac{4}{3}$ , then the right hand side of (3.20) is bounded. This yields estimate (3.14). By definition of  $|y|_{\kappa}$ ,

$$|y|_{\kappa} - |y| = \frac{\kappa^2}{|y|_{\kappa} + |y|}.$$

Since  $|y|_{\kappa} + |y| \ge \kappa$ , we have

$$\frac{\kappa^2}{|y|_{\kappa} + |y|} \le \frac{\kappa^2}{\kappa} = \kappa. \tag{3.21}$$

Hence

$$0 < |y|_{\kappa} - |y| < \kappa$$
.

Letting  $y = S_x^{\kappa}$  yields

$$|S_x^{\kappa} S_{xx}^{\kappa}| = |S_x^{\kappa}| |S_{xx}^{\kappa}| \le (|S_x^{\kappa}|_{\kappa} - |S_x^{\kappa}|) |S_{xx}^{\kappa}| \le \kappa |S_{xx}^{\kappa}|,$$

and (3.15) follows from (3.14) and estimate (3.5).

Next we are going to prove (3.16). Writing

$$|S_x^{\kappa}|_{\kappa} S_{xx}^{\kappa} = \left( \int_0^{S_x^{\kappa}} |y|_{\kappa} dy \right)_{\tau}, \tag{3.22}$$

and invoking that the primitive of  $|y|_{\kappa}$  is equal to

$$\frac{1}{2} \left( y \sqrt{y^2 + \kappa^2} + \kappa^2 \log \left( y + \sqrt{y^2 + \kappa^2} \right) \right),\,$$

which, thanks to  $\log x \le x - 1$  for all x > 0, is bounded by  $C(y^2 + 1)$ , we then show easily that

$$\int_{\Omega} \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy dx \le C \int_{\Omega} (|S_{x}^{\kappa}|^{2} + 1) dx \le C.$$

To apply the Poincaré inequality of the form

$$||f - \bar{f}||_{L^p(\Omega)} \le C||f_x||_{L^p(\Omega)}$$

where  $\bar{f} := \frac{1}{|\Omega|} \int_{\Omega} f(x) dx$ , we choose

$$p = \frac{4}{3}, \quad f = \int_0^{S_x^{\kappa}} |y|_{\kappa} dy,$$

and obtain

$$\int_{0}^{t} \left\| \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy \right\|_{L^{\frac{4}{3}}(\Omega)}^{\frac{4}{3}} d\tau 
\leq C \int_{0}^{t} \left\| \left( \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy \right)_{x} \right\|_{L^{\frac{4}{3}}(\Omega)}^{\frac{4}{3}} d\tau + C \int_{0}^{t} \left\| \overline{\int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy} \right\|_{L^{\frac{4}{3}}(\Omega)}^{\frac{4}{3}} d\tau 
\leq C \int_{0}^{t} \left\| |S_{x}^{\kappa}|_{\kappa} S_{xx}^{\kappa} \right\|_{L^{\frac{4}{3}}(\Omega)}^{\frac{4}{3}} d\tau + C \int_{0}^{t} 1 d\tau,$$
(3.23)

which implies, by (3.14), that

$$\int_{0}^{t} \left\| \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy \right\|_{L^{\frac{4}{3}}(\Omega)}^{\frac{4}{3}} d\tau \leq C. \tag{3.24}$$

Hence (3.16) follows, and we get  $\int_0^{S_x^{\kappa}} |y|_{\kappa} dy \in L^{\frac{4}{3}}(0, T_e; W^{1, \frac{4}{3}}(\Omega))$ . Making use of the Sobolev embedding theorem, we get (3.17).

It remains to prove estimate (3.19), since (3.18) is equivalent to (3.19). We rewrite  $\int_0^{S_x^{\kappa}} |y|_{\kappa} dy$  as

$$\int_{0}^{S_{x}^{\kappa}} |y|_{\kappa} dy = \int_{0}^{S_{x}^{\kappa}} |y| dy + \int_{0}^{S_{x}^{\kappa}} (|y|_{\kappa} - |y|) dy$$

$$= \frac{1}{2} |y| y \Big|_{0}^{S_{x}^{\kappa}} + \int_{0}^{S_{x}^{\kappa}} \frac{\kappa^{2}}{|y|_{\kappa} + |y|} dy$$

$$= \frac{1}{2} |S_{x}^{\kappa}| S_{x}^{\kappa} + \int_{0}^{S_{x}^{\kappa}} \frac{\kappa^{2}}{|y|_{\kappa} + |y|} dy. \tag{3.25}$$

Thus

$$\frac{1}{2}(|S_x^{\kappa}|S_x^{\kappa})_x = \left(\int_0^{S_x^{\kappa}} |y|dy\right)_x = \left(\int_0^{S_x^{\kappa}} |y|_{\kappa}dy\right)_x - \frac{\kappa^2 S_{xx}^{\kappa}}{|S_x^{\kappa}|_{\kappa} + |S_x^{\kappa}|}.$$
 (3.26)

By (3.21) and the Young inequality we obtain from (3.5) and the assumption that  $k \leq 1$  that

$$\left| \frac{\kappa^2 S_{xx}^{\kappa}}{|S_x^{\kappa}|_{\kappa} + |S_x^{\kappa}|} \right| \leq |\kappa S_{xx}^{\kappa}|, \text{ thus}$$

$$\left\| \kappa S_{xx}^{\kappa} \right\|_{L^{\frac{4}{3}}(Q_{T_e})} \leq \left( \int_{Q_{T_e}} \left( \kappa^2 + \kappa |S_{xx}^{\kappa}|^2 \right) dx d\tau \right)^{\frac{3}{4}} \leq C. \tag{3.27}$$

Combination with (3.16) and (3.26) yields

$$\|(|S_x^{\kappa}|S_x^{\kappa})_x\|_{L^{\frac{4}{3}}(Q_{T_e})} \le C \left\| \left( \int_0^{S_x^{\kappa}} |y|_{\kappa} dy \right)_x \right\|_{L^{\frac{4}{3}}(Q_{T_e})} + C \|\kappa S_{xx}^{\kappa}\|_{L^{\frac{4}{3}}(Q_{T_e})} \le C. \tag{3.28}$$

It is clear that  $\overline{|S_x^{\kappa}|S_x^{\kappa}} \leq C \int_{\Omega} |S_x^{\kappa}|^2 dx \leq C$ . Applying again the Poincaré inequality to the function  $f = |S_x^{\kappa}|S_x^{\kappa}$ , we arrive at

$$||||S_x^{\kappa}|S_x^{\kappa}||_{L^{\frac{4}{3}}(Q_{T_e})} \le C.$$

Hence this, combined with (3.28), implies that

$$\| |S_x^{\kappa}| S_x^{\kappa} \|_{L^{\frac{4}{3}}(0,T_e;W^{1,\frac{4}{3}}(\Omega))} \le C,$$

one concludes by using the Sobolev embedding theorem that

$$\| |S_x^{\kappa}| S_x^{\kappa} \|_{L^{\frac{4}{3}}(0,T_e;L^{\infty}(\Omega))} \le C,$$

which is

$$||S_x^{\kappa}||_{L^{\frac{8}{3}}(0,T_e;L^{\infty}(\Omega))} \le C.$$

This completes the proof of this corollary.

**Lemma 3.3** There hold for any  $t \in [0, T_e]$ 

$$||S_t^{\kappa}(t)||^2 + c\nu \int_0^t \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^2 dx d\tau \le C, \tag{3.29}$$

$$|||S_x^{\kappa}|_{\kappa}S_{xx}^{\kappa}(t)|| \leq C. \tag{3.30}$$

*Proof.* Suppose that estimate (3.29) is true, from equation (2.4) and the estimate (3.5) we can easily get (3.30). So it is enough to prove (3.29).

Differentiating (2.4) formally with respect to t yields

$$S_{tt}^{\kappa} = c\nu(|S_x^{\kappa}|_{\kappa}S_{xt}^{\kappa})_x + c\left((T^{\kappa}\cdot\bar{\varepsilon} - \hat{\psi}'(S^{\kappa}))(|S_x^{\kappa}|_{\kappa} - \kappa)\right)_t. \tag{3.31}$$

Multiplying (3.31) by  $S_t^{\kappa}$  and integrating it, by integration by parts, we obtain

$$0 = \frac{1}{2} \frac{d}{dt} \|S_t^{\kappa}\|^2 + c\nu \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^2 dx + c \int_{\Omega} \left( (T^{\kappa} \cdot \bar{\varepsilon} - \hat{\psi}'(S^{\kappa}))(|S_x^{\kappa}|_{\kappa} - \kappa) \right)_t S_t^{\kappa} dx$$
$$= \frac{1}{2} \frac{d}{dt} \|S_t^{\kappa}\|^2 + c\nu \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^2 dx + J_1.$$
(3.32)

It is not difficult to carry out a rigorous justification of (3.32) with the help of difference quotient, we omit the details. Computation gives

$$J_{1} = c \int_{\Omega} \left( (T^{\kappa} \cdot \bar{\varepsilon} - \hat{\psi}'(S^{\kappa}))_{t} (|S_{x}^{\kappa}|_{\kappa} - \kappa) + (T^{\kappa} \cdot \bar{\varepsilon} - \hat{\psi}'(S^{\kappa})) (|S_{x}^{\kappa}|_{\kappa})_{t} \right) S_{t}^{\kappa} dx$$

$$= J_{11} + J_{12}. \tag{3.33}$$

By the formula of T, we have

$$|J_{11}| \leq C \int_{\Omega} (|S_{t}^{\kappa}|^{2} + |S_{t}^{\kappa}|) (|S_{x}^{\kappa}|_{\kappa} + \kappa) dx$$

$$\leq C(\|S_{x}^{\kappa}\|_{L^{\infty}(\Omega)} + 1) \int_{\Omega} (|S_{t}^{\kappa}|^{2} + 1) dx$$

$$\leq C(\|S_{x}^{\kappa}\|_{L^{\infty}(\Omega)} + 1) (\|S_{t}^{\kappa}\|^{2} + 1). \tag{3.34}$$

To handle  $J_{12}$ , we make use of estimate (3.6) and  $|y| \leq |y|_{\kappa}$ .

$$|J_{12}| \leq C \int_{\Omega} \frac{|S_{x}^{\kappa} S_{xt}^{\kappa} S_{t}^{\kappa}|}{|S_{x}^{\kappa}|_{\kappa}} dx = C \int_{\Omega} \frac{|S_{x}^{\kappa} S_{xt}^{\kappa}|}{|S_{x}^{\kappa}|_{k}^{\frac{1}{2}}} \frac{|S_{t}^{\kappa}|}{|S_{x}^{\kappa}|_{k}^{\frac{1}{2}}} dx$$

$$\leq C \int_{\Omega} \frac{|S_{x}^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|}{|S_{x}^{\kappa}|_{k}^{\frac{1}{2}}} \frac{|S_{t}^{\kappa}|}{|S_{x}^{\kappa}|_{k}^{\frac{1}{2}}} dx$$

$$\leq \frac{c\nu}{2} \int_{\Omega} |S_{x}^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^{2} dx + C_{\nu} \int_{\Omega} \frac{|S_{t}^{\kappa}|^{2}}{|S_{x}^{\kappa}|_{\kappa}} dx.$$
(3.35)

Thus it follows from (3.32) - (3.35) that

$$\frac{1}{2} \frac{d}{dt} \|S_t^{\kappa}\|^2 + c\nu \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^2 dx$$

$$\leq \frac{c\nu}{2} \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^2 dx + C_{\nu} \int_{\Omega} \frac{|S_t^{\kappa}|^2}{|S_x^{\kappa}|_{\kappa}} dx + C(\|S_x^{\kappa}\|_{L^{\infty}(\Omega)} + 1)(\|S_t^{\kappa}\|^2 + 1). (3.36)$$

From equation (2.4) and assumption  $S_0 \in H^2(\Omega)$  we compute the initial data

$$||S_{t}^{\kappa}|_{t=0}|| \leq C(||S_{0x}|_{\kappa}S_{0xx}|| + ||S_{0x}|_{\kappa} + \kappa||)$$

$$\leq C(||S_{0x}|_{\kappa}||_{L^{\infty}(\Omega)}||S_{0xx}|| + ||S_{0x}|| + 1)$$

$$\leq C((||S_{0x}||_{H^{1}(\Omega)} + 1)||S_{0xx}|| + ||S_{0x}|| + 1) \leq C.$$
(3.37)

Thus  $S_t^{\kappa}|_{t=0} \in L^2(\Omega)$ . Next we use the Gronwall inequality of the form:

**Lemma 3.4** For measurable functions y, A, B defined on  $[0, T_e]$ , such that  $y \ge 0$  and  $A, B \in L^1(0, T_e)$ , if

$$y'(t) \le A(t)y(t) + B(t),$$

then

$$y(t) \le y(0) \exp\left(\int_0^t A(\tau)d\tau\right) + \int_0^t B(s) \exp\left(\int_s^t A(\tau)d\tau\right)ds.$$

Defining

$$y(t) = \|S_t^{\kappa}(t)\|^2, \ A(t) = C(\|S_x^{\kappa}\|_{L^{\infty}(\Omega)} + 1), \ B(t) = C(\|S_x^{\kappa}\|_{L^{\infty}(\Omega)} + 1) + C_{\nu} \int_{\Omega} \frac{|S_t^{\kappa}|^2}{|S_x^{\kappa}|_{\kappa}} dx,$$

where A, B are integrable over  $[0, T_e]$  by Lemma 3.1 and Corollary 3.2, we derive from (3.36) and (3.37) that

$$||S_t^{\kappa}(t)||^2 + c\nu \int_0^t \int_{\Omega} |S_x^{\kappa}|_{\kappa} |S_{xt}^{\kappa}|^2 dx d\tau \le C||S_t^{\kappa}(0)||^2 + C \le C.$$
 (3.38)

Thus the proof of this lemma is complete.

Corollary 3.5 The function  $\int_0^{S_x^{\kappa}} |y|_{\kappa} dy$  belongs to  $H^1(Q_{T_e})$ , and the estimates hold

$$\left\| \left( \int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy \right)_t \right\|_{L^2(Q_T)} \le C, \tag{3.39}$$

$$\left\| \int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy \right\|_{L^2(0,T_c;H^1(\Omega))} \le C. \tag{3.40}$$

Proof. Calculating yields

$$\left(\int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy\right)_t = |S_x^{\kappa}|_{\kappa}^{\frac{1}{2}} S_{xt}^{\kappa},\tag{3.41}$$

recalling (3.29), we obtain (3.39). Similarly,

$$\left(\int_{0}^{S_{x}^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy\right)_{x} = |S_{x}^{\kappa}|_{\kappa}^{\frac{1}{2}} S_{xx}^{\kappa}, \tag{3.42}$$

combining with (3.5) gives

$$\left\| \left( \int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy \right)_x \right\|_{L^2(Q_{T_e})} \le C. \tag{3.43}$$

Finally, Noting  $\left|\int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy\right| \leq C \max\left\{M, |S_x^{\kappa}|^{\frac{3}{2}}\right\}$  for some large constant M > 0, we have

$$\left\| \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy \right\|_{L^{2}(Q_{T_{e}})}^{2} \leq C + C \int_{\Omega} |S_{x}^{\kappa}|^{3} dx$$

$$\leq C + C \|S_{x}^{\kappa}\|_{L^{\infty}(\Omega)} \int_{\Omega} |S_{x}^{\kappa}|^{2} dx. \tag{3.44}$$

Thus by (3.19) in Corollary 3.2 there holds

$$\int_{0}^{t} \left\| \int_{0}^{S_{x}^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy \right\|_{L^{2}(Q_{T_{e}})}^{2} d\tau \leq C + C \int_{0}^{t} \|S_{x}^{\kappa}\|_{L^{\infty}(\Omega)} d\tau \leq C.$$
 (3.45)

Then (3.40) follows from (3.43) and (3.45). The proof of the lemma is complete.

## 4 Existence/regularity of solutions to the phase field model

We shall make use of the a priori estimates established in the previous section to study the convergence of  $(u^{\kappa}, T^{\kappa}, S^{\kappa})$  as  $\kappa \to 0$ . In this section we will show that there is a subsequence, which converges to a weak solution of the initial-boundary value problem (1.7) - (1.12), thus we prove the existence of weak solutions; then we shall investigate the regularity of solutions.

Existence. It follows from Lemmas 3.1 and 3.3 that

$$||S^{\kappa}||_{H^1(Q_{T_e})} \le C$$
, (4.1)

for a constant C independent of  $\kappa$ . Hence, we can select a sequence  $\kappa_n \to 0$  and a function  $S \in H^1(Q_{T_e})$ , such that the sequence  $S^{\kappa_n}$ , which we again denote by  $S^{\kappa}$ , satisfies

$$||S^{\kappa} - S||_{L^{2}(Q_{T_{\varepsilon}})} \to 0, \qquad S_{x}^{\kappa} \rightharpoonup S_{x}, \qquad S_{t}^{\kappa} \rightharpoonup S_{t},$$

$$(4.2)$$

where the weak convergence is in  $L^2(Q_{T_e})$ .

Since the equation (1.9) is nonlinear, the weak convergence of  $S_x^{\kappa}$  is not enough to prove that the limit function solves this equation. In the following lemma we therefore show that  $S_x^{\kappa}$  converges pointwise almost everywhere:

**Lemma 4.1** There exists a subsequence of  $S_x^{\kappa}$ , we still denote it by  $S_x^{\kappa}$ , such that

$$\int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy \to \int_0^{S_x} |y|^{\frac{1}{2}} dy \quad a.e. \quad in \quad Q_{T_e}, \tag{4.3}$$

$$S_x^{\kappa} \to S_x, \quad a.e. \quad in \quad Q_{T_e},$$
 (4.4)

$$|S_x^{\kappa}|_{\kappa} \rightharpoonup |S_x|, \quad weakly \ in \ L^2(Q_{T_e}),$$
 (4.5)

$$\int_{0}^{S_{x}^{\kappa}} |y|^{\frac{1}{2}} dy \to \int_{0}^{S_{x}} |y|^{\frac{1}{2}} dy, \quad strongly \quad in \quad L^{2}(Q_{T_{e}}), \tag{4.6}$$

as  $\kappa \to 0$ .

The proof is based on the following result:

**Lemma 4.2** Let  $(0, T_e) \times \Omega$  be an open set in  $\mathbb{R}^+ \times \mathbb{R}^n$ . Suppose functions  $g_n, g$  are in  $L^q((0, T_e) \times \Omega)$  for any given  $1 < q < \infty$ , which satisfy

$$||g_n||_{L^q((0,T_e)\times\Omega)} \leq C, \quad g_n \to g \text{ almost everywhere in } (0,T_e) \times \Omega.$$

Then  $g_n$  converges to g weakly in  $L^q((0,T_e)\times\Omega)$ .

Since we have stronger a priori estimates than those in [3] where it is assumed that the initial data is in  $H^1(\Omega)$ , we don't need any more a compactness lemma of Aubin-Lions type or its generalized version (see e.g. Simon [26] and Roubícěk [25]) which in [3] plays a crucial role in the proof of the existence of weak solutions with  $H^1(\Omega)$  initial data. A proof of Lemma 4.2 can be found e.g. in the book by Lions [23, p.12].

Proof of Lemma 4.1: Since the estimates in Lemma 3.1 and Corollary 3.5 imply that the sequence  $\int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy$  is uniformly bounded in  $H^1(Q_{T_e})$  for  $\kappa \in (0,1]$ . By the Sobolev imbedding theorem, we assert that  $\int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy$  is compact in  $L^2(Q_{T_e}) = L^2(0, T_e; L^2(\Omega))$ . Thus there is a subsequence, still denoted by  $\int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy$ , which converges strongly in  $L^2(Q_{T_e})$  to a limit function  $G \in L^2(Q_{T_e})$ . Next we prove that the sequence  $\int_0^{S_x^{\kappa}} |y|^{\frac{1}{2}} dy$  converges to G in  $L^2(Q_{T_e})$ . Write

$$\int_0^{S_x^{\kappa}} |y|^{\frac{1}{2}} dy = \int_0^{S_x^{\kappa}} |y|_{\kappa}^{\frac{1}{2}} dy + \int_0^{S_x^{\kappa}} \left( |y|^{\frac{1}{2}} - |y|_{\kappa}^{\frac{1}{2}} \right) dy = I_1 + I_2.$$

It is easy to compute that

$$0 \leq |y|_{\kappa}^{\frac{1}{2}} - |y|^{\frac{1}{2}} = \frac{|y|_{\kappa} - |y|}{|y|_{\kappa}^{\frac{1}{2}} + |y|^{\frac{1}{2}}}$$

$$= \frac{\kappa^{2}}{\left(|y|_{\kappa}^{\frac{1}{2}} + |y|^{\frac{1}{2}}\right)(|y|_{\kappa} + |y|)}$$

$$\leq \frac{\kappa^{2}}{\kappa^{\frac{1}{2}+1}} = \kappa^{\frac{1}{2}}.$$
(4.7)

Thus  $I_2$  can be estimated as

$$||I_2||_{L^2(Q_{T_e})} \le ||\kappa^{\frac{1}{2}} S_x^{\kappa}||_{L^2(Q_{T_e})} \le C\kappa^{\frac{1}{2}} ||S_x^{\kappa}||_{L^{\infty}(0,T_e;L^2(\Omega))} \le C\kappa^{\frac{1}{2}} \to 0.$$

Therefore,  $\int_0^{S_x^{\kappa}} |y|^{\frac{1}{2}} dy \to \lim_{\kappa \to 0} I_1 = G$  strongly in  $L^2(Q_{T_e})$ .

Consequently, from this sequence  $\int_0^{S_x^{\kappa}} |y|^{\frac{1}{2}} dy$  we can select another subsequence, denoted in the same way, which converges almost everywhere in  $Q_{T_e}$ . Using that the mapping  $y \mapsto f(y) := \int_0^y |y|^{\frac{1}{2}} dy$  has a continuous inverse  $f^{-1} : \mathbb{R} \to \mathbb{R}$ , we infer that also the sequence  $S_x^{\kappa} = f^{-1}\left(\int_0^{S_x^{\kappa}} |y|^{\frac{1}{2}} dy\right)$  converges pointwise almost everywhere to  $f^{-1}(G)$  in  $Q_{T_e}$ . From the uniqueness of the weak limit we conclude that  $f^{-1}(G) = S_x$  almost everywhere in  $Q_{T_e}$ . Thus we prove (4.6).

To prove (4.5) we note that the estimate  $|S_x^{\kappa}|_{\kappa} \leq |S_x^{\kappa}| + \kappa$  and the inequality (4.1) together imply that the sequence  $|S_x^{\kappa}|_{\kappa}$  is uniformly bounded in  $L^2(Q_{T_e})$ . Thus, (4.5) is a consequence of (4.4) and Lemma 4.2.

Proof of Theorem 1.2: Define the functions u, T by inserting S into (2.9) and (2.10), respectively, where S is the limit function of the sequence  $S^{\kappa}$ . We shall prove that (u, T, S) is a weak solution of problem (1.7) – (1.12).

Recalling (2.8) we have  $S \in L^{\infty}(Q_{T_e})$ . From this relation, from the definition of u, T we immediately see that u, T satisfy (1.14) and (1.15), respectively. Observe next that  $||S^{\kappa}||_{L^{\infty}(0,T_e;H_0^1(\Omega))} \leq C$ , by Lemma 3.1 and Sobolev's embedding theorem. This implies  $S \in L^{\infty}(0,T_e;H_0^1(\Omega))$ , since we can select a subsequence of  $S^{\kappa}$  which converges weakly to S in this space. Thus, S satisfies (1.16).

Noting that from (2.1) and (4.2)

$$\|\chi_{\kappa} * S^{\kappa} - S\|_{L^{2}(Q_{T_{e}})} \leq \|\chi_{\kappa} * (S^{\kappa} - S)\|_{L^{2}(Q_{T_{e}})} + \|(S - \chi_{\kappa} * S)\|_{L^{2}(Q_{T_{e}})}$$
  
$$\leq \|(S - \chi_{\kappa} * S)\|_{L^{2}(Q_{T_{e}})} + \|S^{\kappa} - S\|_{L^{2}(Q_{T_{e}})} \to 0, \quad (4.8)$$

for  $\kappa \to 0$ , we conclude easily that the function (u,T) defined in this way satisfy weakly equation (1.7) – (1.8). By definition, if the relation (1.17) holds, then the proof of the existence of weak solutions is complete. To verify (1.17) we use that by construction  $S^{\kappa}$  solves (2.3). Now we multiply equation (2.3) by a test function  $\varphi \in C_0^{\infty}((-\infty, T_e) \times \Omega)$  and integrate the resulting equation over  $Q_{T_e}$ , then obtain

$$\begin{split} 0 &= (S^{\kappa}_t, \varphi)_{Q_{T_e}} + (-c\,\nu|S^{\kappa}_x|_{\kappa}S^{\kappa}_{xx} + \mathcal{F}^{\kappa}(|S^{\kappa}_x|_{\kappa} - \kappa), \varphi)_{Q_T} \\ &= -(S^{\kappa}_0, \varphi(0))_{\Omega} - (S^{\kappa}, \varphi_t)_{Q_{T_e}} + \left(c\,\nu\int_0^{S^{\kappa}_x} |y|_{\kappa}dy, \varphi_x\right)_{Q_{T_e}} \\ &+ (\mathcal{F}^{\kappa}(|S^{\kappa}_x|_{\kappa} - \kappa), \varphi)_{Q_T}\,, \end{split}$$

where  $\mathcal{F}^{\kappa} = -c \left( T^{\kappa} \cdot \bar{\varepsilon} - \hat{\psi}'(S^{\kappa}) \right)$ . Equation (1.17) follows from this relation if we show that

$$(S_0^{\kappa}, \varphi(0))_{\Omega} \rightarrow (S_0, \varphi(0))_{\Omega},$$
 (4.9)

$$(S^{\kappa}, \varphi_t)_{Q_{T_e}} \rightarrow (S, \varphi_t)_{Q_{T_e}},$$
 (4.10)

$$\left(\int_0^{S_x^{\kappa}} |y|_{\kappa} dy, \varphi_x\right)_{Q_{T_e}} \to \left(\frac{1}{2} |S_x| S_x, \varphi_x\right)_{Q_{T_e}}, \tag{4.11}$$

$$(\mathcal{F}^{\kappa}|S_x^{\kappa}|_{\kappa},\varphi)_{Q_{T_e}} \rightarrow (\mathcal{F}|S_x|,\varphi)_{Q_{T_e}},$$
 (4.12)

$$(\kappa \mathcal{F}^{\kappa}, \varphi)_{Q_{T_{\alpha}}} \rightarrow 0,$$
 (4.13)

for  $\kappa \to 0$ . Now, the relation (4.9) follows from (3.2), and the relation (4.10) is a consequence of (4.2). By (4.4) and (3.30) from which it is easy to get  $\|\int_0^{S_x^{\kappa}} |y|_{\kappa} dy\|_{L^2(Q_{T_e})} \leq C$ , using again Lemma 4.1, one has (4.11). Convergence (4.13) follows from (3.4) easily.

To verify (4.12) we note that (4.8), (3.19), (3.4), and the definition of  $\mathcal{F}^{\kappa}$  yield

$$\|\mathcal{F}^{\kappa}|S_x^{\kappa}|_{\kappa}\|_{L^2(Q_{T_e})} \leq C, \tag{4.14}$$

$$\mathcal{F}^{\kappa}|S_x^{\kappa}|_{\kappa} \to \mathcal{F}|S_x|$$
, almost everywhere. (4.15)

Then by Lemma 4.1,

$$\mathcal{F}^{\kappa}|S_x^{\kappa}|_{\kappa} \rightharpoonup \mathcal{F}|S_x|,$$

weakly in  $L^2(Q_{T_e})$ , which implies (4.12). Consequently (1.17) holds.

**Regularity.** Since  $S_0 \in H^2(\Omega)$ , we can obtain more regular solutions. By the estimate  $||S_t^{\kappa}||_{L^{\infty}(0,T_e;L^2(\Omega))} \leq C$ , we see that the relation  $S_t \in L^{\infty}(0,T_e;L^2(\Omega))$  is true. Then by the theory of elliptic systems, we obtain (1.19).

To prove (1.20), we recall the definition of weak solutions. From (1.17) it follows that

$$|(|S_{x}|S_{x}, \varphi_{x})_{Q_{T_{e}}}| \leq C \left| \left( \left( T \cdot \overline{\varepsilon} - \hat{\psi}'(S) \right) |S_{x}|, \varphi \right)_{Q_{T_{e}}} \right| + |(S, \varphi_{t})_{Q_{T_{e}}} + (S_{0}, \varphi(0))_{\Omega}|$$

$$\leq C \|S_{x}\|_{L^{\infty}(0, T_{e}; L^{2}(\Omega))} \|\varphi\|_{L^{1}(0, T_{e}; L^{2}(\Omega))} + |(S_{t}, \varphi)_{Q_{T_{e}}}|$$

$$\leq C \|\varphi\|_{L^{1}(0, T_{e}; L^{2}(\Omega))} + \|S_{t}\|_{L^{\infty}(0, T_{e}; L^{2}(\Omega))} \|\varphi\|_{L^{1}(0, T_{e}; L^{2}(\Omega))}$$

$$\leq C \|\varphi\|_{L^{1}(0, T_{e}; L^{2}(\Omega))}, \tag{4.16}$$

here, we used the estimates  $||S_x||_{L^{\infty}(0,T_e;L^2(\Omega))} \leq C$  and  $||S_t||_{L^{\infty}(0,T_e;L^2(\Omega))} \leq C$ . The right hand side of (4.16) holds for all  $\varphi \in L^1(0,T_e;L^2(\Omega))$ , whence

$$\sup_{0 \le t \le T_e} \| (|S_x|S_x)_x(t) \| = \sup_{\|\varphi\|_{L^1(0,T_e;L^2(\Omega))} \le 1} |((|S_x|S_x)_x,\varphi)_{Q_{T_e}}| \\
= \sup_{\|\varphi\|_{L^1(0,T_e;L^2(\Omega))} \le 1} |\langle |S_x|S_x, \varphi_x \rangle_{Q_{T_e}}| \\
\le C. \tag{4.17}$$

Thus,  $(|S_x|S_x)_x \in L^{\infty}(0, T_e; L^2(\Omega))$ .

Furthermore, from the Poincaré inequality and the estimate  $||S_x||_{L^{\infty}(0,T_e;L^2(\Omega))} \leq C$  we obtain  $|S_x|S_x \in L^{\infty}(0,T_e;H^1(\Omega))$ , from which one asserts by the Sobolev imbedding theorem that  $|S_x|S_x \in L^{\infty}(Q_{T_e})$ , hence  $S_x \in L^{\infty}(Q_{T_e})$ . And the proof of Theorem 1.2 is complete.

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